

Please type a plus sign (+) inside this box [ + ]

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

17302 U.S. PTO  
10/617012  
07/10/03

### UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B00-016-3

First Named Inventor or Application Identifier Glazer et al.

Title Multifunctional Recombinant Phycobiliprotein-Based Fluorescent Constructs and Phycobilisome Display

Express Mail Label No. EV324953044US

**EV324953044US**

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  X  \*Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  X  Applicant claims small entity status (see 37 CFR 1.27)
3.  X  Specification (Total Pages  78 )  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.     Drawings(s) (35 USC 113) (Total Sheets    )
5.  X  Oath or Declaration (Total Pages  1 )
  - a.     Newly Executed (Original or Copy)
  - b.  X  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.      DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.     Application Data Sheet. See 37 CFR 1.76
7.     CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.     Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)  
b. ☐ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper  
c. ☐ Statement verifying identity of above copies  
d. ☐ Request to use CRF from another application

#### ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)  
☐ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☒ a. Information Disclosure Statement (IDS)/PTO-1449 and copy of EU Srch Rept (4p)  
☐ b. Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☒ Other: Transmittal Letter (1p) and copy of Glazer, 1994 ref (8p)

#### 17. Priority

This application claims priority to prior application No: 09/469,194, filed December 21, 1999, having the same title and inventors

Prior application information: Examiner Kam, Chih Min Group Art Unit 1653

The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. Correspondence Address

- ☒ Customer Number or Bar Code Label 23379  
(Insert Customer No. or Attach Bar Code Label here)  
or  
☒ Correspondence Address Below

NAME Richard Aron Osman  
SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature:  Date: 7/10/03

16367 U.S. PTO  
07/10/03

PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL EFFECTIVE JANUARY 1, 2003

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$433.00

**Complete If Known:**

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Glazer et al.

Group Art Unit 1653

Examiner Name Kam, Chih Min

Attorney Docket No. B00-016-3

**METHOD OF PAYMENT (check one)**

1. ☒ [ X ] The Commissioner is hereby authorized to charge indicated fees to:

☒ [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

☒ [ X ] Applicant claims small entity status. See 37 CFR 1.27

Deposit Account Number 19-0750

Deposit Account Name Science & Technology Law Group

2. ☒ X Payment Enclosed

☒ X Check

☐ Money Order

☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
F	Fee	F	Fee		
Code	(\$)	Code	(\$)		
101	750	201	375	Utility application filing fee	<u>375.</u>
106	330	206	165	Design application filing fee	<u>          </u>
107	520	207	260	Plant filing fee	<u>          </u>
108	750	208	375	Reissue filing fee	<u>          </u>
114	160	214	80	Provisional application filing fee	<u>          </u>
SUBTOTAL (1)					<u>\$ 375.00</u>

**2. CLAIMS**

	Extra	Fee from below	Fee Paid
Total Claims <u>22</u>	- 20 = <u>2</u>	X <u>9.</u>	= <u>18.</u>
Independent Claims <u>1</u>	- 3 = <u>0</u>	X <u>          </u>	= <u>0</u>
Multiple Dependent Claims	<u>0</u>	X <u>          </u>	= <u>0</u>

Large Entity		Small Entity		Fee Description	Fee Paid
F	Fee	F	Fee		
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of twenty	<u>18.00</u>
102	84	202	42	Independent claims in excess of 3	<u>0</u>
104	280	204	140	Multiple dependent claim	<u>0</u>
109	84	209	42	Reissue independent claims over original patent	<u>0</u>
110	18	210	9	Reissue claims in excess of 20 and over original patent	<u>0</u>
SUBTOTAL (2)					<u>\$ 18.00</u>

# **FEE CALCULATION (continued)**

## **3. ADDITIONAL FEES**

<u>Larg Entity</u>		<u>Small Entity</u>		<u>Fee Descripti n</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	410	216	205	Extension for response within second month	_____
117	930	217	465	Extension for response within third month	_____
118	1,450	218	725	Extension for response within fourth month	_____
128	1,970	228	985	Extension for response within fifth month	_____
119	320	219	160	Notice of Appeal	_____
120	320	220	160	Filing a brief in support of an appeal	_____
121	280	221	140	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,300	241	650	Petition to revive unintentionally abandoned application	_____
142	1,280	242	640	Utility issue fee (or reissue)	_____
143	460	243	230	Design issue fee	_____
144	620	244	310	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	750	246	375	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	750	249	375	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Oth r fee (specify) _____					_____
Oth r fee (specify) _____					_____

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

## **SUBMITTED BY:**

Typed or Printed Name: Richard Aron Osman

Signature  Date July 10, 2003

Reg. Number 36,627

Deposit Account User ID \_\_\_\_\_

(complete if applicable)